

CERTIFIED NIST CYBERSECURITY CONSULTANT

Candidate Handbook

www.pecb.com

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SECTION I: INTRODUCTION

About PECB

PECB is a certification body that provides education¹, certification, and certificate programs for individuals on a wide range of disciplines.

Through our presence in more than 150 countries, we help professionals demonstrate their competence in various areas of expertise by providing valuable evaluation, certification, and certificate programs against internationally recognized standards.

Our key objectives are:

- 1. Establishing the minimum requirements necessary to certify professionals and to grant designations
- 2. Reviewing and verifying the qualifications of individuals to ensure they are eligible for certification
- 3. Maintaining and continually improving the evaluation process for certifying individuals
- 4. Certifying qualified individuals, granting designations and maintaining respective directories
- 5. Establishing requirements for the periodic renewal of certifications and ensuring that the certified individuals are complying with those requirements
- 6. Ascertaining that PECB professionals meet ethical standards in their professional practice
- 7. Representing our stakeholders in matters of common interest
- 8. Promoting the benefits of certification and certificate programs to professionals, businesses, governments, and the public

Our mission

Provide our clients with comprehensive examination, certification, and certificate program services that inspire trust and benefit the society as a whole.

Our vision

Become the global benchmark for the provision of professional certification services and certificate programs.

Our values

Integrity, Professionalism, Fairness

¹ Education refers to training courses developed by PECB and offered globally through our partners.

The Value of PECB Certification

Global recognition

PECB credentials are internationally recognized and endorsed by many accreditation bodies, so professionals who pursue them will benefit from our recognition in domestic and international markets.

The value of PECB certifications is validated by the accreditation from the International Accreditation Service (IAS-PCB-111), the United Kingdom Accreditation Service (UKAS-No. 21923) and the Korean Accreditation Board (KAB-PC-08) under ISO/IEC 17024 – General requirements for bodies operating certification of persons. The value of PECB certificate programs is validated by the accreditation from the ANSI National Accreditation Board (ANAB-Accreditation ID 1003) under ANSI/ASTM E2659-18, Standard Practice for Certificate Programs.

PECB is an associate member of The Independent Association of Accredited Registrars (IAAR), a full member of the International Personnel Certification Association (IPC), a signatory member of IPC MLA, and a member of Club EBIOS, CPD Certification Service, CLUSIF, Credential Engine, and ITCC. In addition, PECB is an approved Licensed Partner Publisher (LPP) from the Cybersecurity Maturity Model Certification Accreditation Body (CMMC-AB) for the Cybersecurity Maturity Model Certification standard (CMMC), is approved by Club EBIOS to offer the EBIOS Risk Manager Skills certification, and is approved by CNIL (Commission Nationale de l'Informatique et des Libertés) to offer DPO certification. For more detailed information, click here.

High-quality products and services

We are proud to provide our clients with high-quality products and services that match their needs and demands. All of our products are carefully prepared by a team of experts and professionals based on the best practices and methodologies.

Compliance with standards

Our certifications and certificate programs are a demonstration of compliance with ISO/IEC 17024 and ASTM E2659. They ensure that the standard requirements have been fulfilled and validated with adequate consistency, professionalism, and impartiality.

Customer-oriented service

We are a customer-oriented company and treat all our clients with value, importance, professionalism, and honesty. PECB has a team of experts who are responsible for addressing requests, questions, and needs. We do our best to maintain a 24-hour maximum response time without compromising the quality of the services.

Flexibility and convenience

Online learning opportunities make your professional journey more convenient as you can schedule your learning sessions according to your lifestyle. Such flexibility gives you more free time, offers more career advancement opportunities, and reduces costs.

PECB Code of Ethics

The Code of Ethics represents the highest values and ethics that PECB is fully committed to follow, as it recognizes the importance of them when providing services and attracting clients.

The Compliance Division makes sure that PECB employees, trainers, examiners, invigilators, partners, distributors, members of different advisory boards and committees, certified individuals, and certificate holders (hereinafter "PECB professionals") adhere to this Code of Ethics. In addition, the Compliance Division consistently emphasizes the need to behave professionally and with full responsibility, competence, and fairness in service provision with internal and external stakeholders, such as applicants, candidates, certified individuals, certificate holders, accreditation authorities, and government authorities.

It is PECB's belief that to achieve organizational success, it has to fully understand the clients and stakeholders' needs and expectations. To do this, PECB fosters a culture based on the highest levels of integrity, professionalism, and fairness, which are also its values. These values are integral to the organization, and have characterized the global presence and growth over the years and established the reputation that PECB enjoys today.

PECB believes that strong ethical values are essential in having healthy and strong relationships. Therefore, it is PECB's primary responsibility to ensure that PECB professionals are displaying behavior that is in full compliance with PECB principles and values.

PECB professionals are responsible for:

- 1. Displaying professional behavior in service provision with honesty, accuracy, fairness, and independence
- 2. Acting at all times in their service provision solely in the best interest of their employer, clients, the public, and the profession in accordance with this Code of Ethics and other professional standards
- 3. Demonstrating and developing competence in their respective fields and striving to continually improve their skills and knowledge
- 4. Providing services only for those that they are qualified and competent and adequately informing clients and customers about the nature of proposed services, including any relevant concerns or risks
- 5. Informing their employer or client of any business interests or affiliations which might influence or impair their judgment
- 6. Preserving the confidentiality of information of any present or former employer or client during service provision
- 7. Complying with all the applicable laws and regulations of the jurisdictions in the country where the service provisions were conducted
- 8. Respecting the intellectual property and contributions of others
- 9. Not communicating intentionally false or falsified information that may compromise the integrity of the evaluation process of a candidate for a PECB certification or a PECB certificate program
- 10. Not falsely or wrongly presenting themselves as PECB representatives without a proper license or misusing PECB logo, certifications or certificates
- 11. Not acting in ways that could damage PECB's reputation, certifications or certificate programs
- 12. Cooperating in a full manner on the inquiry following a claimed infringement of this Code of Ethics

To read the complete version of PECB's Code of Ethics, go to Code of Ethics | PECB.

Introduction to Certified NIST Cybersecurity Consultant

The Certified NIST Cybersecurity Consultant training course provides professionals with the essential knowledge and skills to advise organizations on developing and managing effective cybersecurity strategies, ensuring compliance with key NIST standards.

This course covers core cybersecurity concepts, risk management, security controls, and incident response, with a focus on significant NIST publications including NIST SP 800-12 for foundational information security principles, NIST SP 800-53 for implementing security and privacy controls, NIST SP 800-171 for protecting controlled unclassified information, as well as NIST Cybersecurity Framework, among others.

PECB certifications are not a license or simply a membership. They attest the candidates' knowledge and skills gained through our training courses and are issued to candidates that have the required experience and have passed the exam.

This document specifies the PECB Certified NIST Cybersecurity Consultant certification scheme in compliance with ISO/IEC 17024:2012. It also outlines the steps that candidates should take to obtain and maintain their credentials. As such, it is very important to carefully read all the information included in this document before completing and submitting your application. If you have questions or need further information after reading it, please contact the PECB international office at certification.team@pecb.com.

SECTION II: EXAMINATION PREPARATION, RULES, AND POLICIES

Preparing for and scheduling the exam

All candidates are responsible for their own study and preparation for certification exams. Although candidates are not required to attend the training course to be eligible for taking the exam, attending it can significantly increase their chances of successfully passing the exam.

To schedule the exam, candidates have two options:

- 1. Contact one of our authorized partners. To find an authorized partner in your region, please go to <u>Active Partners</u>. The training course schedule is also available online and can be accessed on <u>Training Events</u>.
- 2. Take a PECB exam remotely through the <u>PECB Exams application</u>. To schedule a remote exam, please go to the following link: <u>Exam Events</u>.

To learn more about exams, competency domains, and knowledge statements, please refer to *Section III* of this document.

Rescheduling the exam

For any changes with regard to the exam date, time, location, or other details, please contact online.exams@pecb.com.

Application fees for examination and certification

Candidates may take the exam without attending the training course. The applicable prices are as follows:

- Lead Exam: \$1000²
- Manager Exam: \$700
- Foundation Exam: \$500
- Transition Exam: \$500

The application fee for certification is \$500.

For the candidates that have attended the training course via one of PECB's partners, the application fee covers the costs of the exam (first attempt and first retake), the application for certification, and the first year of Annual Maintenance Fee (AMF).

² All prices listed in this document are in US dollars.

Competency domains

The objective of the "PECB Certified NIST Cybersecurity Consultant" exam is to ensure that the candidate has acquired the knowledge and skills to effectively oversee, develop, and implement NIST-based cybersecurity programs and strategies.

The Certified NIST Cybersecurity Consultant certification is intended for:

- · Executives and directors responsible for overseeing cybersecurity initiatives within their organizations
- Professionals involved in the development and implementation of cybersecurity programs
- Consultants and advisors who provide cybersecurity and compliance services, ensuring they stay up to date with the latest NIST frameworks and best practices
- Digital forensics and cybercrime investigators who need to understand the technical and regulatory aspects of cybersecurity frameworks to investigate and respond to security incidents comprehensively
- System administrators and network engineers seeking a deeper understanding of security controls and risk management processes to adhere to NIST security standards
- Individuals working in cybersecurity or information security who aim to enhance their understanding of NIST guidelines and develop practical experience in managing cybersecurity risks

The content of the exam is divided as follows:

- **Domain 1:** Fundamental principles and concepts of cybersecurity
- Domain 2: Planning and organizational strategy in cybersecurity
- **Domain 3:** Implementing a cybersecurity program and security controls
- **Domain 4:** Cybersecurity incident management
- **Domain 5:** Cybersecurity incident response

Domain 1: Fundamental principles and concepts of cybersecurity

Main objective: Ensure that the candidate is able to interpret and apply fundamental cybersecurity concepts and NIST guidelines to enhance cybersecurity practices.

	Competencies		Knowledge statements
1.	Ability to differentiate between various	1.	Knowledge of various cybersecurity frameworks
	cybersecurity frameworks and standards		and standards
2.	Ability to assess NIST's role in cybersecurity and	2.	Knowledge of NIST Special Publication 800 series,
	its impact on organizational practices		including its structure, contents, and applications in
3.	Ability to explain the NIST Special Publication 800		cybersecurity management
	series and its relevance to cybersecurity practices	3.	Knowledge of the main cybersecurity concepts and
4.	Ability to explain the main cybersecurity concepts		principles
	and principles	4.	Knowledge of vulnerabilities, threats, and risks
5.	Ability to identify vulnerabilities, threats, and risks		within the context of cybersecurity
	in an organization's cybersecurity posture	5.	Knowledge of different types of security controls,
6.	Ability to identify the methods and motivations		their functions, and their applications in mitigating
	behind malicious hacking activities		cybersecurity risks
		6.	Knowledge of physical security measures and their
			role in an overall cybersecurity strategy
		7.	Knowledge of network security practices,
			protocols, and tools, including firewalls, intrusion
			detection systems, and secure communication
			protocols
		8.	Knowledge of different types of malicious hackers,
			their tactics, techniques, and motivations

Domain 2: Planning and organizational strategy in cybersecurity

Main objective: Ensure that the candidate is able to identify internal and external factors, key processes and activities, business requirements and interested parties, define roles and responsibilities, conduct risk assessments, manage cybersecurity policies, and continually improve cybersecurity practices based on NIST guidelines.

	Competencies		Knowledge statements
1. 2. 3. 4. 5. 6. 7. 8. 9.	Competencies Ability to define and align cybersecurity objectives with business goals and strategies Ability to conduct gap analysis to identify differences between current cybersecurity posture and business requirements Ability to identify the internal and external environment, key processes, and activities Ability to define and allocate roles and responsibilities within a cybersecurity program Ability to develop and implement effective cybersecurity policies Ability to design a risk management strategy that aligns with the organization's goals and RMF Ability to manage supply chain cybersecurity risks through effective risk management practices Ability to utilize NIST's cybersecurity frameworks and checklists to guide and improve security planning	1. 2. 3. 4. 5. 6. 7. 8. 9. 10.	Knowledge of how the organization's mission, values, and objectives impact cybersecurity planning Knowledge of ways to conduct gap analysis to identify differences between current cybersecurity posture and business requirements Knowledge of internal and external environment, key processes, and activities Knowledge of key cybersecurity roles and their responsibilities, including CIO, System Owner, Security Architect, and more Knowledge of the steps in developing cybersecurity policies Knowledge of the Risk Management Framework (RMF), its steps, and its alignment with the system development life cycle (SDLC) Knowledge of risk assessment process based on NIST SP 800-30 Knowledge of cybersecurity supply chain risk management (C-SCRM), roles, responsibilities, and practices Knowledge of essential C-SCRM practices
			well as how to leverage them for cybersecurity improvement and system security plans

Domain 3: Implementing a cybersecurity program and security controls

Main objective: Ensure that the candidate is able to select and implement appropriate security controls, develop effective awareness and training programs, apply essential security measures, and manage monitoring practices continually.

	Competencies		Knowledge statements
1.	Ability to select appropriate security controls from various families based on the organization's needs and risks	1.	Knowledge of security and privacy control families, including access control, awareness and training, audit and accountability, contingency planning,
2.	Ability to develop and implement awareness programs and training activities to build cybersecurity competence within an organization	2.	incident response, system and information integrity, and supply chain risk management Knowledge of proper competence needed, training,
3.	Ability to apply security measures such as backup, account management, configuration management, and boundary protection to protect systems and	3.	and awareness concepts Knowledge of awareness programs and activity development and evaluation
4.	information Ability to establish and manage monitoring practices continually to ensure ongoing security and	4.	Knowledge of system and information backup, account management, remote access, configuration management, identification, and authorization
	compliance	5.	Knowledge of boundary protection, cryptographic key establishment and management, and media protection
		6.	Knowledge of continual monitoring concepts, key components, strategies, monitoring methods, tools, and NIST reporting practices

Domain 4: Cybersecurity incident management

Main objective: Ensure that the candidate is able to develop and implement an incident management process.

	Competencies		Knowledge statements
1.	Ability to align incident management policies with	1.	Knowledge of cybersecurity incident management
	legal and regulatory requirements		frameworks and best practices
2.	Ability to establish an incident management plan	2.	Knowledge of the components of an incident
3.	Ability to establish and manage an incident		management plan
	response team	3.	Knowledge of the roles and responsibilities within
4.	Ability to analyze cybersecurity incidents		an incident response team
	effectively	4.	Knowledge of techniques and tools for incident
5.	Ability to assess cybersecurity incidents and decide		analysis, including anomaly-based detection
	on the appropriate response	5.	Knowledge of the decision-making process for
6.	Ability to manage and coordinate response efforts		assessing and responding to incidents
	during a cybersecurity incident	6.	Knowledge of anomaly-based detection methods and technologies
		7.	Knowledge of best practices for incident
			coordination and communication

Domain 5: Cybersecurity incident response

Main objective: Ensure that the candidate is able to respond to, recover from, and continually improve from cybersecurity incidents by applying effective incident response, recovery strategies, and lessons learned practices.

	Competencies		Knowledge statements
1.	Ability to respond to cybersecurity events	1.	Knowledge of ways to respond to cybersecurity
2.	Ability to report and record cybersecurity incidents		incidents
3.	Ability to contain and eradicate cybersecurity	2.	Knowledge of incident reporting and recording
	incidents in line with best practices and established		requirements
	protocols	3.	Knowledge of containment and eradication
4.	Ability to execute incident recovery plans, ensuring		strategies during cybersecurity incidents
	the recovery of assets and backup systems	4.	Knowledge of incident recovery plan execution
5.	Ability to incorporate lessons learned into future		processes and asset recovery procedures
	cybersecurity strategies and response plans	5.	Knowledge of recovery communication strategies
6.	Ability to plan and implement contingency		and tools
	measures to ensure business continuity during and	6.	Knowledge of methods for conducting post-
	after cybersecurity incidents		incident analysis and applying lessons learned to
			improve security measures
		7.	Knowledge of contingency planning principles and
			their role in incident recovery

Based on the above-mentioned domains and their relevance, the exam contains 80 multiple-choice questions, as summarized in the table below:

				Level of understanding requ	
		Number of questions/points per competency domain	% of the exam devoted/points to/for each competency domain	Questions that measure comprehension, application, and analysis	Questions that measure evaluation
	Fundamental principles and concepts of cybersecurity	10	12.5%	Х	
Competency domains	Planning and organizational strategy in cybersecurity	25	31.25%	Х	
	Implementing a cybersecurity program and security controls	22	27.5%		Х
	Cybersecurity incident management	8	10%	Х	
	Cybersecurity incident response	15	18.75%		Х
	Total	80	100%		
		Number of questions pe	43	37	
	% of the exam devoted to	each level of understandin	53.75%	46.25%	

The passing score of the exam is **70%**.

After successfully passing the exam, candidates will be able to apply for obtaining the "PECB Certified NIST Cybersecurity Consultant" credential.

Taking the exam

General information about the exam

Candidates are required to arrive/be present at least 30 minutes before the exam starts.

Candidates who arrive late will not be given additional time to compensate for the late arrival and may not be allowed to sit for the exam.

Candidates are required to bring a valid identity card (a national ID card, driver's license, or passport) and show it to the invigilator.

If requested on the day of the exam (paper-based exams), additional time can be provided to candidates taking the exam in a non-native language, as follows:

- 10 additional minutes for Foundation exams
- 20 additional minutes for Manager exams
- 30 additional minutes for Lead exams

PECB exam format and type

- 1. **Paper-based:** Exams are provided on paper, where candidates are not allowed to use anything but the exam paper and a pen. The use of electronic devices, such as laptops, tablets, or phones, is not allowed. The exam session is supervised by a PECB approved Invigilator at the location where the Partner has organized the training course.
- 2. Online: Exams are provided electronically via the PECB Exams application. The use of electronic devices, such as tablets and cell phones, is not allowed. The exam session is supervised remotely by a PECB Invigilator via the PECB Exams application and an external/integrated camera.

For more information about online exams, go to the PECB Online Exam Guide.

PECB exams are available in two types:

- 1. Essay-type question exam
- 2. Multiple-choice question exam

This exam comprises multiple-choice questions: The multiple-choice exam can be used to evaluate candidates' understanding on both simple and complex concepts. It comprises both stand-alone and scenario-based questions. Stand-alone questions stand independently within the exam and are not context-depended, whereas scenario-based questions are context-dependent, i.e., they are developed based on a scenario which a candidate is asked to read and is expected to provide answers to five questions related to that scenario. When answering stand-alone and scenario-based questions, candidates will have to apply various concepts and principles explained during the training course, analyze problems, identify and evaluate alternatives, combine several concepts or ideas, etc.

Each multiple-choice question has three options, of which one is the correct response option (keyed response) and two incorrect response options (distractors).

This is an open-book exam. The candidate is allowed to use the following reference materials:

- A hard copy of the NIST Cybersecurity Framework
- Training course materials (accessed through the PECB Exams app and/or printed)
- Any personal notes taken during the training course (accessed through the PECB Exams app and/or printed)



• A hard copy dictionary

A sample of exam questions will be provided below.

Note: PECB will progressively transition to multiple-choice exams. They will also be open book and comprise scenario-based questions that will allow PECB to evaluate candidates' knowledge, abilities, and skills to use information in new situations (apply), draw connections among ideas (analyze), and justify a stand or decision (evaluate).

For specific information about exam types, languages available, and other details, please contact <u>examination.team@pecb.com</u> or go to the <u>List of PECB Exams</u>.

Sample exam scenario and questions

ACkTech is a medium-sized technology company that provides cloud-based services to clients in the healthcare sector. The company is committed to safeguarding its systems and data, particularly due to the sensitive nature of the information it handles. Recently, *ACkTech* adopted the NIST Risk Management Framework (RMF) to improve its cybersecurity posture and ensure compliance with industry regulations.

To manage the RMF implementation, the company formed a dedicated cybersecurity team. The cybersecurity team began by categorizing the data and systems they handle and then moved on to establishing the organizational context for managing security risks. Building on this foundation, they selected and implemented security controls based on a thorough risk assessment, using cybersecurity engineering methodologies to integrate them into their security and privacy plans. Throughout the process, they carefully documented all changes to the planned implementation of controls.

The team assessed the effectiveness of the implemented controls but did not follow a formal security and privacy assessment plan. They did not consider reusing results from previous assessments to make the risk management process more timely or cost-effective. Following the assessment, *ACkTech*'s top management assigned Jess, a senior management official, the responsibility of determining whether the security and privacy risks to organizational operations, assets, individuals, other organizations, or the Nation, posed by the operation of a system or the use of common controls, are acceptable.

As part of the ongoing effort, the cybersecurity team implemented a continuous monitoring strategy, performing regular assessments of control effectiveness and analyzing monitoring data. They analyzed the output of these monitoring activities, updated the risk management documents based on them, and developed and implemented a system disposal strategy.

Based on the scenario above, answer the following questions.

- 1. Did ACkTech execute all of the steps of RMF according to NIST SP 800-37?
 - A. No, the "Categorize" step was skipped.
 - B. Yes, all steps were executed properly.
 - C. No, the "Prepare" step was executed out of order.
- 2. To which step of the RMF does the action of assigning Jess the described responsibility align?
 - A. Monitor
 - B. Authorize
 - C. Implement

3. Was *ACkTech* in compliance with NIST guidelines when implementing the controls in the system security and privacy plans?



- A. Yes, ACkTech adhered to NIST guidelines by implementing the specified controls outlined in the system security and privacy plans, applying security and privacy engineering methodologies, and thoroughly documenting all changes.
- B. No, *ACkTech* did not allocate the controls to specific system elements, as required in NIST SP 800-37, where controls must be mapped to system elements.
- C. No, *ACkTech* failed to classify controls as system-specific, hybrid, or common, as required by NIST SP 800-37, which mandates control categorization.
- 4. Which task from the assess step is described in the scenario is NOT conducted properly?
 - A. Task A-2: Assessment plan
 - B. Task A-3: Control assessments
 - C. Task A-5: Remediation actions
- 5. Did *ACkTech* perform authorization package updates as part of the monitor step?
 - A. Yes, by updating risk management documents based on monitoring activities.
 - B. Yes, by conducting ongoing authorizations using the results of monitoring activities.
 - C. No, they only focused on system disposal and reporting tasks.

Exam Security Policy

PECB is committed to protect the integrity of its exams and the overall examination process, and relies upon the ethical behavior of applicants, potential applicants, candidates and partners to maintain the confidentiality of PECB exams. This Policy aims to address unacceptable behavior and ensure fair treatment of all candidates.

Any disclosure of information about the content of PECB exams is a direct violation of this Policy and PECB's Code of Ethics. Consequently, candidates taking a PECB exam are required to sign an Exam Confidentiality and Non-Disclosure Agreement and must comply with the following:

- 1. The questions and answers of the exam materials are the exclusive and confidential property of PECB. Once candidates complete the submission of the exam to PECB, they will no longer have any access to the original exam or a copy of it.
- 2. Candidates are prohibited from revealing any information regarding the questions and answers of the exam or discuss such details with any other candidate or person.
- 3. Candidates are not allowed to take with themselves any materials related to the exam, out of the exam room.
- 4. Candidates are not allowed to copy or attempt to make copies (whether written, photocopied, or otherwise) of any exam materials, including, without limitation, any questions, answers, or screen images.
- 5. Candidates must not participate nor promote fraudulent exam-taking activities, such as:
 - Looking at another candidate's exam material or answer sheet
 - Giving or receiving any assistance from the invigilator, candidate, or anyone else
 - Using unauthorized reference guides, manuals, tools, etc., including using "brain dump" sites as they are not authorized by PECB

Once a candidate becomes aware or is already aware of the irregularities or violations of the points mentioned above, they are responsible for complying with those, otherwise if such irregularities were to happen, candidates will be reported directly to PECB or if they see such irregularities, they should immediately report to PECB.

Candidates are solely responsible for understanding and complying with PECB Exam Rules and Policies, Confidentiality and Non-Disclosure Agreement and Code of Ethics. Therefore, should a breach of one or more rules be identified, candidates will not receive any refunds. In addition, PECB has the right to deny the right to enter a PECB exam or to invite candidates for an exam retake if irregularities are identified during and after the grading process, depending on the severity of the case.

Any violation of the points mentioned above will cause PECB irreparable damage for which no monetary remedy can make up. Therefore, PECB can take the appropriate actions to remedy or prevent any unauthorized disclosure or misuse of exam materials, including obtaining an immediate injunction.

PECB will take action against individuals that violate the rules and policies, including permanently banning them from pursuing PECB credentials and revoking any previous ones. PECB will also pursue legal action against individuals or organizations who infringe upon its copyrights, proprietary rights, and intellectual property.

Exam results

Exam results will be communicated via email.

- The time span for the communication starts from the exam date and lasts three to eight weeks for essay type exams and two to four weeks for multiple-choice paper-based exams.
- For online multiple-choice exams, candidates receive their results instantly.

Candidates who successfully complete the exam will be able to apply for one of the credentials of the respective certification scheme.

For candidates who fail the exam, a list of the domains where they have performed poorly will be added to the email to help them prepare better for a retake.

Candidates that disagree with the results may request a re-evaluation by writing to <u>examination.team@pecb.com</u> within 30 days of receiving the results. Re-evaluation requests received after 30 days will not be processed. If candidates do not agree with the results of the reevaluation, they have 30 days from the date they received the reevaluated exam results to file a complaint through the <u>PECB Ticketing System</u>. Any complaint received after 30 days will not be processed.

Exam Retake Policy

There is no limit to the number of times a candidate can retake an exam. However, there are certain limitations in terms of the time span between exam retakes.

If a candidate does not pass the exam on the 1st attempt, they must wait 15 days after the initial date of the exam for the next attempt (1st retake).

Note: Candidates who have completed the training course with one of our partners, and failed the first exam attempt, are eligible to retake for free the exam within a 12-month period from the date the coupon code is received (the fee paid for the training course, includes a first exam attempt and one retake). Otherwise, retake fees apply.

For candidates that fail the exam retake, PECB recommends they attend a training course in order to be better prepared for the exam.

To arrange exam retakes, based on exam format, candidates that have completed a training course, must follow the steps below:

- 1. Online Exam: when scheduling the exam retake, use initial coupon code to waive the fee
- 2. Paper-Based Exam: candidates need to contact the PECB Partner/Distributor who has initially organized the session for exam retake arrangement (date, time, place, costs).

Candidates that have not completed a training course with a partner, but sat for the online exam directly with PECB, do not fall under this Policy. The process to schedule the exam retake is the same as for the initial exam.

SECTION III: CERTIFICATION PROCESS AND REQUIREMENTS

PECB NIST Cybersecurity Consultant credentials

All PECB certifications have specific requirements regarding education and professional experience. To determine which credential is right for you, take into account your professional needs and analyze the criteria for the certifications.

The credentials in the PECB NIST Cybersecurity Consultant scheme have the following requirements:

Credential	Education	Exam	Professional experience	Experience in cybersecurity programs	Other requirements
PECB Certified Provisional NIST Cybersecurity Consultant	At least	NIST Cybersecurity Consultant	None	None	Signing the
PECB Certified NIST Cybersecurity Consultant	secondary education		Five years: Two years of work experience in cybersecurity	Project activities: a total of 300 hours	PECB Code of Ethics

To be considered valid, the implementation activities should follow best implementation and management practices and include the following:

- 1. Establishing baseline security controls tailored to the organization's environment
- 2. Performing a comprehensive assessment of the current security posture, documenting strengths, gaps, and compliance levels
- 3. Implementing risk management practices and frameworks
- 4. Leading cybersecurity awareness training sessions to ensure employees are knowledgeable about relevant best practices
- 5. Applying cybersecurity best practices in all systems maintenance tasks
- 6. Implementing incident management in cybersecurity to minimize impact, preserve critical data, and restore normal operations swiftly while maintaining system integrity

Applying for certification

All candidates who successfully pass the exam (or an equivalent accepted by PECB) are entitled to apply for the PECB credential they were assessed for. Specific educational and professional requirements need to be fulfilled in order to obtain a PECB certification. Candidates are required to fill out the online certification application form (that can be accessed via their PECB account), including contact details of individuals who will be contacted to validate the candidates' professional experience. Candidates can submit their application in English, French, German, Spanish or Korean languages. They can choose to either pay online or be billed. For additional information, please contact certification.team@pecb.com.

The online certification application process is very simple and takes only a few minutes:

- <u>Register</u> your account
- Check your email for the confirmation link
- <u>Log in</u> to apply for certification

For more information on how to apply for certification, click here.

The Certification Department validates that the candidate fulfills all the certification requirements regarding the respective credential. The candidate will receive an email about the application status, including the certification decision.

Following the approval of the application by the Certification Department, the candidate will be able to download the certificate and claim the corresponding Digital Badge. For more information about downloading the certificate, click <u>here</u>, and for more information about claiming the Digital Badge, click <u>here</u>.

PECB provides support both in English and French.

Professional experience

Candidates must provide complete and correct information regarding their professional experience, including job title(s), start and end date(s), job description(s), and more. Candidates are advised to summarize their previous or current assignments, providing sufficient details to describe the nature of the responsibilities for each job. More detailed information can be included in the résumé.

Professional references

For each application, two professional references are required. They must be from individuals who have worked with the candidate in a professional environment and can validate their experience in cybersecurity programs, as well as their current and previous work history. Professional references of persons who fall under the candidate's supervision or are their relatives are not valid.

Cybersecurity project experience

The candidate's cybersecurity project log will be checked to ensure that the candidate has the required number of implementation hours.

Evaluation of certification applications

The Certification Department will evaluate each application to validate the candidates' eligibility for certification or certificate program. A candidate whose application is being reviewed will be notified in writing and, if necessary, given a reasonable time frame to provide any additional documentation. If a candidate does not respond by the deadline or does not provide the required documentation within the given time frame, the Certification Department will validate the application based on the initial information provided, which may lead to the candidates' credential downgrade.

SECTION IV: CERTIFICATION POLICIES

Denial of certification

PECB can deny certification/certificate program if candidates:

- Falsify the application
- Violate the exam procedures
- Violate the PECB Code of Ethics

Candidates whose certification/certificate program has been denied can file a complaint through the complaints and appeals procedure. For more detailed information, refer to **Complaint and Appeal Policy** section.

The application payment for the certification/certificate program is nonrefundable.

Certification status options

Active

Means that your certification is in good standing and valid, and it is being maintained by fulfilling the PECB requirements regarding the CPD and AMF.

Suspended

PECB can temporarily suspend candidates' certification if they fail to meet the requirements. Other reasons for suspending certification include:

- PECB receives excessive or serious complaints by interested parties (suspension will be applied until the investigation has been completed.)
- The logos of PECB or accreditation bodies are willfully misused.
- The candidate fails to correct the misuse of a certification mark within the determined time by PECB.
- The certified individual has voluntarily requested a suspension.
- PECB deems appropriate other conditions for suspension of certification.

Revoked

PECB can revoke (that is, to withdraw) the certification if the candidate fails to satisfy its requirements. In such cases, candidates are no longer allowed to represent themselves as PECB Certified Professionals. Additional reasons for revoking certification can be if the candidates:

- Violate the PECB Code of Ethics
- Misrepresent and provide false information of the scope of certification
- Break any other PECB rules
- Any other reasons that PECB deems appropriate

Candidates whose certification has been revoked can file a complaint through the complaints and appeals procedure. For more detailed information, refer to <u>Complaint and Appeal Policy</u> section.

Other statuses

Besides being active, suspended, or revoked, a certification can be voluntarily withdrawn or designated as Emeritus. To learn more about these statuses and the permanent cessation status, go to <u>Certification Status Options</u>.

Upgrade and downgrade of credentials

Upgrade of credentials

Professionals can upgrade their credentials as soon as they can demonstrate that they fulfill the requirements.

To apply for an upgrade, candidates need to log into their PECB account, visit the "My Certifications" tab, and click on "Upgrade." The upgrade application fee is \$100.

Downgrade of credentials

A PECB Certification can be downgraded to a lower credential due to the following reasons:

- The AMF has not been paid.
- The CPD hours have not been submitted.
- Insufficient CPD hours have been submitted.
- Evidence on CPD hours has not been submitted upon request.

Note: PECB certified professionals who hold Lead certifications and fail to provide evidence of certification maintenance requirements will have their credentials downgraded. The holders of Master Certifications who fail to submit CPDs and pay AMFs will have their certifications revoked.

Renewing the certification

PECB certifications are valid for three years. To maintain them, PECB certified professionals must meet the requirements related to the designated credential, e.g., they must fulfill the required number of continual professional development (CPD) hours. In addition, they need to pay the annual maintenance fee (\$120). For more information, go to the <u>Certification Maintenance</u> page on the PECB website.

Closing a case

If candidates do not apply for certification within one year, their case will be closed. Even though the certification period expires, candidates have the right to reopen their case. However, PECB will no longer be responsible for any changes regarding the conditions, standards, policies, and candidate handbook that were applicable before the case was closed. A candidate requesting their case to reopen must do so in writing to <u>certification.team@pecb.com</u> and pay the required fee.

Complaint and Appeal Policy

Any complaints must be made no later than 30 days after receiving the certification decision. PECB will provide a written response to the candidate within 30 working days after receiving the complaint. If candidates do not find the response satisfactory, they have the right to file an appeal.

For more information about the Complaint and Appeal Policy, click here.

SECTION V: GENERAL POLICIES

Exams and certifications from other accredited certification bodies

PECB accepts certifications and exams from other recognized accredited certification bodies. PECB will evaluate the requests through its equivalence process to decide whether the respective certification(s) or exam(s) can be accepted as equivalent to the respective PECB certification (e.g., ISO/IEC 27001 Lead Implementer certification).

Non-discrimination and special accommodations

All candidate applications will be evaluated objectively, regardless of the candidates' age, gender, race, religion, nationality, or marital status.

To ensure equal opportunities for all qualified persons, PECB will make reasonable accommodations³ for candidates, when appropriate. If candidates need special accommodations because of a disability or a specific physical condition, they should inform the partner/distributor in order for them to make proper arrangements⁴. Any information that candidates provide regarding their disability/special needs will be treated with confidentiality. To download the Candidates with Disabilities Form, click <u>here</u>.

Behavior Policy

PECB aims to provide top-quality, consistent, and accessible services for the benefit of its external stakeholders: distributors, partners, trainers, invigilators, examiners, members of different committees and advisory boards, and clients (trainees, examinees, certified individuals, and certificate holders), as well as creating and maintaining a positive work environment which ensures safety and well-being of its staff, and holds the dignity, respect and human rights of its staff in high regard.

The purpose of this Policy is to ensure that PECB is managing unacceptable behavior of external stakeholders towards PECB staff in an impartial, confidential, fair, and timely manner. To read the Behavior Policy, click <u>here</u>.

Refund Policy

PECB will refund your payment, if the requirements of the Refund Policy are met. To read the Refund Policy, click <u>here</u>.

³ According to ADA, the term "reasonable accommodation" may include: (A) making existing facilities used by employees readily accessible to and usable by individuals with disabilities; and (B) job restructuring, part-time or modified work schedules, reassignment to a vacant position, acquisition or modification of equipment or devices, appropriate adjustment or modifications of examinations, training materials or policies, the provision of qualified readers or interpreters, and other similar accommodations for individuals with disabilities.

⁴ ADA Amendments Act of 2008 (P.L. 110–325) Sec. 12189. Examinations and courses. [Section 309]: Any person that offers examinations or courses related to applications, licensing, certification, or credentialing for secondary or post-secondary education, professional, or trade purposes shall offer such examinations or courses in a place and manner accessible to persons with disabilities or offer alternative accessible arrangements for such individuals.



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Certification: certification.team@pecb.com

> Customer Service: support@pecb.com

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PECB Help Center

Visit our Help Center to browse Frequently Asked Questions (FAQ), view manuals for using PECB website and applications, read documents related to PECB processes, or to contact us via Support Center's online tracking system.

www.pecb.com

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