PECB Change Log Form

PECB

GENERAL INFORMATION				
Owner / Department*	Training Development Department			
Approver / Department *	Quality Assurance Department			
Date of Approval*	2019-05-22			
Course details*	**Course name:**	**Language:**	**New Version:**	**Previous Version:**
	ISO 55001 Lead Auditor	English	1.2	1.1

Summary of the Change:

- The references of ISO 19011:2011 have been replaced with the references of ISO 19011:2018.
- The risk-based approach has been added to the principles of auditing based on the references of SO 19011:2018.
- The framework has been updated.

Day 1:

Slide Number		**Slide Description:**	**Modifications:**	**Comments**
Current version	Previous version			
No.5	No.NA	**Note on terminology used**	New slide has been added.	

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No.6	No.5	Section 1:
		Course objectives and structure
			Notes information has been deleted:
			"Main objectives of this section:
			  1. Provide general information regarding PECB
			  2. Present the main objectives of the training
			  3. Understand the competency domains covered in the exam"	

No.10	No.NA	Training Objectives	New slide has been added	
No.13	No.11	Examination	Slide information has been updated from: "Concluding an ISO 55001 audit" To: "Closing an ISO 55001 audit"	
No.NA	No.19	Seven ISO Management Principles	Slide has been deleted.	
No.NA	No.20	**Slide Notes Extension**	Slide has been deleted.	
No29,30	No.52,53	Asset Management Benefits	Slides 52 and 53 have been merged to slide 29 and 30.	
No.46	No.44	Types of Assets	Slide section has been updated.	
No.49	No.47	The Most Critical Asset	Slide information has been added: "HR is considered as one of the most critical assets."	
No.54	No.55	Asset Knowledge	Slide information has been added: "Important notes: Asset management is all about managing the potential fail."	
No.55	No.56	Asset Failure	Notes section has been updated.	
No.58	No.59	The Relationship of the Asset Management System and Asset Management	Slide section has been updated.	
No.59	No.60	The Relationship Between Maintenance Management and Asset Management	Slide and notes section have been updated.	

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No.66	No.68	Understanding the Organization and Its Context	Slide section has been updated.	
No.68	No.70	Determining the Scope of the Asset Management System	Slide and notes section have been updated.	
No.69	No.NA	Asset Management System	New slide has been added.	
No.73	No.74	Organizational Roles, Responsibilities and Authorities	Slide and notes section have been updated.	
No.74	No.75	Clause 6: Planning	Slide section has been updated. Notes information has been deleted.	
No.75	No.NA	Actions to Address Risks and Opportunities for the Asset Management System	New slide has been added.	
No.77	No.NA	Planning to Achieve Asset Management Objectives	New slide has been added.	
No.NA	No.76	Control Objective and Control	Slide has been deleted.	
No. NA	No.77	Classification of Controls	Slide has been deleted.	
No. NA	No.78	**Slide Notes Extension**	Slide has been deleted.	
No. NA	No.79	Asset Management Controls	Slide has been deleted.	
No. NA	No.80	Asset Risk Management	Slide has been deleted.	
No.78	No.82	Clause 7: Support	Slide section has been updated. Notes information has been deleted.	
No.81	No.85	Competence	Slide information has been merged in notes section.	
No.NA	No.86	Competence	Slide has been deleted.	
No.85	No.NA	Exercise 3: Information requirements	New exercise has been added.	
No.86	No.90	Documented Information	Notes section has been updated.	
No.87	No.NA	Control of Documented Information	New slide has been added.	
No.89	No.92	Operational Planning and Control	Slide and notes section have been updated.	
No.91	No.94	Outsourcing	Notes section has been updated.	

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No.103	No.106	Exercise 4: Interpretation of ISO 55001 clauses	Exercise has been updated.	

Day 2:

Slide Number		Slide Description:	Modifications:	Comments
Current version	Previous version			
No.3	No.3	Audit Standards	Notes section has been updated.	
No.4	No.4	ISO 19011	References of ISO 19011:2018 have been added.	
No.5	No.5	ISO/IEC 17021-1	Notes section has been updated.	
No.12	No.12	Involved Parties	Slide and notes section have been updated based on ISO 19011:2018.	
No.14	No.14	Audit Criteria	Slide and notes section have been updated based on ISO 19011:2018.	
No.16	No.16	Combined Audit	Slide section has been updated based on ISO 19011:2018.	
No.17	No.17	Principles of Auditing	New auditing principle has been added: "g) Risk-based approach"	
No.20	No.20	2nd Principle: Fair Presentation	Slide section has been updated.	
No.21	No.NA	**Slide Notes Extension**	New slide has been added.	
No.22	No.NA	**Slide Notes Extension**	New slide has been added.	
No.26	No.NA	Irregularities and Illegal Acts	New slide has been added.	
No.27	No.NA	**Slide Notes Extension**	New slide has been added.	
No.30	No.26	Exceptions to the Confidentiality Principle	Slide description has been changed from: "Disclosure of Confidentiality" To: "Exceptions to the Confidentiality Principle"	

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No.32	No.28	*Threats to Impartiality*	*Notes section has been updated.*	
No.32	No.29	***Slide Notes Extension***	*Slide 29 has been merged to slide 32.*	
No.34	No.31	*Consultancy Services and Audit*	*Notes section has been updated.*	
No.40	No.NA	*7th Principle: Risk-based Approach*	*New slide has been added.*	
No.41	No.NA	*Risk-based Approach*	*New slide has been added.*	
No.42	No.NA	*Risk-based Approach*	*New slide has been added.*	
No.43	No.NA	*Risk-based Approach*	*New slide has been added.*	
No.44	No.NA	*Risk-based Approach*	*New slide has been added.*	
No.48	No.40	*Audit Evidence*	*Slide section has been updated based on ISO 19011:2018.*	
No.49	No.41	*Evidence Collection*	*Notes section has been updated.*	
No.51	No.43	*1. Physical Evidence*	*Notes section has been updated.*	
No.62	No.NA	*Audit Approach Based on Risk*	*New slide has been added.*	
No.63	No.54	*Materiality*	*Slide information has been changed from:* *"A process is judged to be material if its omission or erroneous handling can influence the decisions of the stakeholders."* *To:* *"An information is judged to be material if its omission or erroneous statement can influence decisions of the stakeholders based on the statements of the audited organization"*	
No.66	No.NA	*Materiality and Audit Planning*	*New slide has been added.*	
No.68	No.58	*Exercise 6:* *Audit evidence*	*Exercise has been updated.*	

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No.71	No.61	Audit Activities - Overview	Framework has been updated.	
No.72	No.62	Phase 1: Initiating the Audit	Framework has been updated.	
No.75	No.65	1.2. Appointing the Audit Team Leader	Notes section has been updated based on ISO 19011:2018.	
No.76	No.66	Audit Team Leader's Role	Notes information has been deleted: "ISO 19011, clause 5.3.1 Roles and responsibilities of the person managing the audit program The person managing an audit program should inform the top management of the contents of the audit program and, where necessary, request its approval."	
No.77	No.67	1.3. Establishing Contact with Auditee	Notes section has been updated based on ISO 19011:2018.	
No.78	No.68	1.4. Defining Audit Objectives	Slide section has been updated. Notes section has been updated based on ISO 19011:2018.	
No.79	No.69	Audit Objectives	Notes information has been deleted.	
No.NA	No.70	Asset Management Audit Objectives	Slide has been deleted.	
No.80	No.71	1.5. Validating Audit Scope	Slide section has been updated. Notes section has been updated based on ISO 19011:2018.	
No.81	No.72	AMS Scope and Audit Scope	Notes information has been deleted: "ISO 19011, clause 5.4.2 Defining the objectives, scope and criteria for an individual audit In the event of any changes to the audit objectives, scope or criteria, the audit program should be modified if necessary."	
No.82	No.73	1.6. Defining Audit Criteria	Notes section has been updated based on ISO 19011:2018.	

No.86	No.77	*1.9. Selecting Audit Team Members*	*Slide description has been changed from:* *"1.9. Audit Team"* *To:* *"1.9. Selecting Audit Team Members"* *Notes section has been updated based on ISO 19011:2018.*	
No.87	No.NA	***Slide Notes Extension***	*New slide has been added.*	
No.94	No.83	*Phase 2: Stage 1 Audit*	*Framework has been updated.*	
No.NA	No.89	*Benefits from Contacts with Stakeholders*	*Slide has been deleted.*	
No.99	No.90	*2.3. Reviewing Documented Information*	*Slide description has been changed from:* *"2.3. Document Review"* *To:* *"2.3. Reviewing Documented Information"* *Slide and notes section have been updated based on ISO 19011:2018.*	
No.102	No.93	*Verbal Expressions*	*Slide information has been added:* *"(Rules for the Structure and Drafting of International Standards)."*	
No.108	No.98	*Verification of the Control of Documents*	*New slide has been added.*	
No.NA	No.99	*Control of Documented Information*	*Slide has been deleted.*	
No.111	No.102	*Exercise 7:* *Stage 1 and stage 2 audit objectives*	*Exercise has been updated.*	
No.114	No.105	*Stage 2 Audit Objectives*	*Notes section has been updated based on ISO 19011:2018.*	
No.115	No.106	*Phase 3: Preparing the Stage 2 Audit*	*Framework has been updated.*	

No.116	*No.107*	*3.1. Audit Planning*	*Slide description has been changed from:* *"3.1. Preparing the Audit Plan"* *To:* *"3.1. Audit Planning"* *Slide and notes section have been updated based on ISO 19011:2018.*	
No.117	*No.108*	*Questions to be Considered while Preparing the Audit Plan*	*Notes information has been deleted.*	
No.118	*No.109*	*Audit Plan Details*	*Notes section has been updated based on ISO 19011:2018.*	
No.121	*No.112*	*3.2. Assigning the Auditors*	*Notes section has been updated based on ISO 19011:2018.*	
No.123	*No.114*	*Using Technical Experts*	*Notes section has been updated.*	
No.125	*No.116*	*3.4. Preparing Documented Information for Audit*	*Slide description has been changed from:* *"3.4. Work Documents"* *To:* *"3.4. Preparing Documented Information for Audit"* *Slide and notes section have been updated based on ISO 19011:2018.*	
No.NA	*No.117*	*Objectives of Audit Working Documents*	*Slide has been deleted.*	

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Day 3:				

Slide Number		Slide Description:	Modifications:	Comments
Current Version	Previous version			
No.3	No.3	Phase 4: Stage 2 Audit	Framework has been updated.	
No.5	No.5	Conducting the Opening Meeting	Notes section has been updated based on ISO 19011:2018.	
No.6	No.6	Conducting the Opening Meeting	Slide and notes section have been updated based on ISO 19011:2018.	
No.9	No.9	4.4. Drafting of Audit Findings and Nonconformity Reports	Slide section has been updated based on ISO 19011:2018. Notes section has been updated.	
No.NA	No.15	Behavior During On-Site Visits	Slide has been deleted.	
No.15	No.16	Communication During the Audit	Slide section has been updated based on ISO 19011:2018. Notes section has been updated.	
No.18	No.19	Audit Team Meetings	Notes information has been added: "In case of an important disagreement on the audit findings, downplay the situation by explaining the involved principles and requirements, in order to identify points of divergence and convergence."	
No.20	No.21	Guides and Observers	Slide section has been updated. Notes section has been updated based on ISO 19011:2018.	

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No.21	No.22	*Guide's Responsibilities*	*Slide secription has been changed from:* *"**Slide Notes Extension**"* *To:* *"Guide's Responsibilities"* *Notes information has been shifted in the slide.*	
No.25	No.26	*Practical Advice – Cultural Aspects*	*Notes section has been updated.*	
No.28	No.29	*Communication with the Management*	*Notes information has been added:* *"It is to be noted that top management may request that an expert, such as the compliance manager, attends the meeting to clarify certain points."*	
No.29	No.30	*Communication with the Management*	*Slide section has been updated.* *Notes section has been updated based on ISO 19011:2018.*	
No.30	No.31	*Exercise 10:* *Conducting interviews*	*Exercise has been updated.*	
No.33	No.34	*Overview of the Audit Process*	*Slide section has been updated based on ISO 19011:2018.* *Notes section has been updated.*	
No.34	No.NA	***Slide Notes Extension***	*New slide has been added.*	
No.35	No.NA	*Applying Audit Methods*	*New slide has been added.*	
No.36	No.35	*Evidence Collection and Analysis Procedures*	*Notes information has been deleted:* *"The « test » corresponds to PECB category called « technical verification »."*	
No.42	No.41	*Interview Types*	*Notes section has been updated.*	
No.43	No.42	*Individual and Group Interviews*	*Notes section has been updated.*	
No.45	No.NA	*Preparing an Interview*	*New slide has been added.*	

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No.49	No.NA	*Conducting an Interview*	*New slide has been added.*	
No.57	No.54	*Examples of Frequent Analyses*	*Slide description has been changed from:* *"**Slide Notes Extension**"* *To:* *"Examples of Frequent Analyses"* *Notes information has been shifted in the slide.*	
No.58	No.56	***Slide Notes Extension***	*Notes information has been shifted to slide 58.*	
No.59	No.NA	*Steps of Audit Sampling*	*New slide has been added.*	
No.62	No.57	*Judgement-based Sampling*	*Slide section has been updated based on ISO 19011:2018.* *Notes information has been deleted:* *"A drawback to judgement-based sampling is that there can be no statistical estimate of the effect of uncertainty in the findings of the audit and the conclusions reached."*	
No.67	No.58	*Statistical Sampling*	*Slide section has been updated based on ISO 19011:2018.*	
No.70	No.67	*F. Audit Procedure: Corroboration*	*Notes section has been updated.*	
No.72	No.NA	*Auditing Virtual Activities and Locations*	*New slide has been added.*	
No.73	No.NA	*Auditing Virtual Activities and Locations*	*New slide has been added.*	
No.87	No.82	*Audit Findings*	*Slide and notes section have been updated based on ISO 19011:2018.*	
No.88	No.83	*Types of Possible Audit Findings*	*Notes section has been updated based on ISO 19011:2018.*	
No.89	No.84	*Nonconformity*	*Slide and notes section have been updated.*	
No.92	No.87	*Major Nonconformity*	*Slide and notes section have been updated.*	

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No.95	No.90	Anomaly	Notes information has been shifted in the slide.	
No.102	No.NA	Exercise 12: Nonconformity report	New exercise has been added.	
No.19/day 4	No.97	Exercise 11: Discussing the preliminary audit conclusions with the management	Slide has been shifted to day 4.	

Day 4:

Slide Number				
		Slide Description:	**Modifications:**	**Comments**
Current Version	Previous version			
No.13	No.13	Phase 5: Audit Conclusions	Framework has been updated.	
No.15	No.15	5.1. Determining Audit Conclusions	Slide description has been changed from: "5.1. Preparing Audit Conclusions" To: "5.1. Determining Audit Conclusions" Slide and notes section have been updated based on ISO 19011:2018.	
No.19	No.97/day 3	Exercise 13: Discussing the preliminary audit conclusions with the management	Slide has been shifted from day 3 to day 4.	
No.21	No.20	Closing Meeting Agenda	Notes section has been updated based on ISO 19011:2018.	
No.25	No.24	Contents of the Audit Report	Slide and notes section have been updated based on ISO 19011:2018.	
No.27	No.26	5.5. Distributing Audit Report	Slide and notes section have been updated based on ISO 19011:2018.	
No.28	No.27	5.6. Audit Follow-up	Slide and notes section have been updated.	
No.30	No.29	5.7. Certification Decision	Notes section has been updated.	

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No.31	No.30	5.8. Closing the Audit	Slide section has been updated based on ISO 19011:2018. Notes section has been updated.	
No.33	No.32	Certificate Example	Certificate has been updated. Notes section has been updated.	
No. 36	No.35	Section 18: Evaluation of action plans by the auditor	Slide section has been updated.	
No.NA	No.39	Compliance Action Plan	Slide has been deleted.	
No.41	No.41	Corrective Actions	Notes section has been updated.	
No.42	No.42	Objectives of the Corrective Actions	Notes information has been deleted.	
No.43	No.NA	Preventive Actions	Slide has been deleted.	
No.47	No.48	Phase 6: Beyond the Initial Audit	Framework has been updated.	
No.48	No.49	6.1. Surveillance Activities	Slide and notes section have been updated.	
No.49	No.50	Surveillance Activities	Notes section has been updated.	
No.50	No.51	6.2. Surveillance Audits	Slide section has been updated.	
No.57	No.58	**Slide Notes Extension**	Notes section has been updated.	
No.58	No.59	Use of ISO Trademarks	Notes information has been added: "8.3.2 A certification body shall not permit its marks to be applied by certified clients to laboratory test, calibration or inspection reports or certificates."	
No.61	No.62	Managing an Audit Programme	Slide and notes section have been updated based on ISO 19011:2018.	
No.62	No.NA	**Slide Notes Extension**	New slide has been added.	
No.66	No.66	Extent of the Audit Programme	Slide and notes section have been updated based on ISO 19011:2018.	
No.72	No.72	Audit Programme Resources	Notes section has been updated based on ISO 19011:2018.	

No.75	*No.75*	*Audit Programme Records*	*Notes section has been updated based on ISO 19011:2018.*	
No.78	*No.78*	***Slide Notes Extension***	*Notes section has been updated based on ISO 19011:2018.*	
No.79	*No.NA*	*Exercise 16:* *Internal Audit*	*New exercise has been added.*	
No.81	*No.80*	*Section 21:* *Competence, evaluation and closing the training*	*Slide section has been updated.*	
No.82	*No.81*	*Competence and Evaluation of Auditors*	*Notes section has been updated based on ISO 19011:2018.*	
No.83	*No.NA*	***Slide Notes Extension***	*New slide has been added.*	
No.84	*No.82*	*Competence and Evaluation of Auditors*	*Notes section has been updated based on ISO 19011:2018.*	
No.85	*No.83*	*Personal Behaviors*	*Notes section has been updated based on ISO 19011:2018.*	
No.86	*No.84*	*Generic Knowledge and Competencies*	*Slide section has been updated.* *Notes section has been updated based on ISO 19011:2018.*	
No.87	*No.85*	***Slide Notes Extension***	*Notes section has been updated based on ISO 19011:2018.*	
No.89	*No.NA*	***Slide Notes Extension***	*New slide has been added.*	
No.90	*No.87*	*Competencies of Audit Team Leaders*	*Slide section has been updated.* *Notes section has been updated based on ISO 19011:2018.*	
No.91	*No.88*	*Qualifications and Knowledge of Asset Management*	*Notes section has been updated based on ISO 19011:2018.*	
No.92	*No.89*	*PECB ISO 55001 Certification Scheme*	*Slide and notes section have been updated.*	
No.93	*No.90*	*PECB Certification Process*	*Slide section has been updated.*	
No.95	*No.91*	*2. Sit for the PECB Exam*	*Slide and notes section have been updated.*	
No.97	*No.94*	*4. Applying for Certification*	*Notes section has been updated.*	
No.99	*No.96*	*5. Evaluation of Your Application*	*Slide and notes section have been updated.*	

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No.100	No.97	6. Certification	*Slide and notes section have been updated.*		
No.101	No.98	7. Maintaining Certification	*Slide and notes section have been updated.*		

Other Materials	Task	Description	Comments	Completed
Case Study	*Update the case study*	*Case study should be aligned with the new version of the course*		☒
Exercises	*Update the exercises*	*Exercises should be aligned with the new version of the course*		☒
Correction Keys	*Update the correction keys*	*Correction keys should be aligned with the new version of the course*		☒
Exam 01	*Update the exam*	*The exam should be aligned with the new version of the course*		☒
Exam 02	*Update the exam*	*The exam should be aligned with the new version of the course*		☒
Exam Preparation Guide (EPG)	*Update the EPG*	*The EPG should be aligned with the new version of the course*		☒
Candidate Handbook (CH)	*Update CH*	*The CH should be aligned with the new version of the course*		☒
Course Description	*Update Course Description on the web*	*The CD should be aligned with the new version of the course*		☒
Other Supporting Materials (Ex. Videos, Samples)	*Update other supporting materials of the course*	*Other supporting materials should be aligned with the new version of the course*	N/A	☐

Comments:

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